

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA (Western Division – Los Angeles)
CIVIL DOCKET FOR CASE #: 2:17-cv-00671-BRO-FFM

Anthony Delarosa v. State Street Corporation, et al
Assigned to: Judge Beverly Reid O'Connell
Referred to: Magistrate Judge Frederick F. Mumm
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 01/27/2017
Date Terminated: 06/21/2017
Jury Demand: Plaintiff
Nature of Suit: 850 Securities/Commodities
Jurisdiction: Federal Question

Plaintiff

Anthony Delorosa
Individually and on behalf of all others
similarly situated

represented by **Laurence M Rosen**
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ATTORNEY TO BE NOTICED

V.

Movant

Marlene Konkoly

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Defendant

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Defendant

Joseph L. Hooley

represented by **Daniel W Halston**
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Katie Moran

(See above for address)
ATTORNEY TO BE NOTICED

Robert K Smith

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PRO HAC VICE
ATTORNEY TO BE NOTICED

William H Paine

(See above for address)
PRO HAC VICE

Defendant

Edward J. Resch

represented by **Daniel W Halston**
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ATTORNEY TO BE NOTICED

Katie Moran
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ATTORNEY TO BE NOTICED

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William H Paine
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Defendant

Michael W. Bell

represented by **Daniel W Halston**
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PRO HAC VICE
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Katie Moran
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Robert K Smith
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William H Paine
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ATTORNEY TO BE NOTICED

| Date Filed | # | Docket Text |
|------------|----------|--|
| 01/27/2017 | <u>1</u> | COMPLAINT Receipt No: 0973-19263166 - Fee: \$400, filed by Plaintiff ANTHONY DELAROSA. (Attachments: # <u>1</u> PSLRA Certification) (Attorney Laurence M Rosen added to party ANTHONY DELAROSA(pty:pla))(Rosen, Laurence) (Entered: 01/27/2017) |
| 01/27/2017 | <u>2</u> | CIVIL COVER SHEET filed by Plaintiff ANTHONY DELAROSA. (Rosen, Laurence) (Entered: 01/27/2017) |
| 01/27/2017 | <u>3</u> | CERTIFICATE of Interested Parties filed by Plaintiff ANTHONY DELAROSA, (Rosen, Laurence) (Entered: 01/27/2017) |

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| 01/27/2017 | <u>14</u> | Request for Clerk to Issue Summons on Complaint (Attorney Civil Case Opening) <u>1</u> filed by Plaintiff ANTHONY DELAROSA. (Rosen, Laurence) (Entered: 01/27/2017) |
| 01/30/2017 | <u>15</u> | NOTICE OF ASSIGNMENT to District Judge Beverly Reid O'Connell and Magistrate Judge Frederick F. Mumm. (jtil) (Entered: 01/30/2017) |
| 01/30/2017 | <u>16</u> | NOTICE TO PARTIES OF COURT-DIRECTED ADR PROGRAM filed. (jtil) (Entered: 01/30/2017) |
| 01/31/2017 | <u>17</u> | STANDING ORDER REGARDING NEWLY ASSIGNED CASES upon filing of the complaint by Judge Beverly Reid O'Connell. (rfi) (Entered: 01/31/2017) |
| 01/31/2017 | <u>18</u> | 21 DAY Summons Issued re Complaint (Attorney Civil Case Opening) <u>1</u> as to Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation. (jtil) (Entered: 01/31/2017) |
| 02/27/2017 | <u>19</u> | WAIVER OF SERVICE Returned Executed filed by Plaintiff Anthony Delorosa. upon Edward J. Resch waiver sent by Plaintiff on 2/21/2017, answer due 4/24/2017. Waiver of Service signed by Robert Kingsley Smith. (Rosen, Laurence) (Entered: 02/27/2017) |
| 02/27/2017 | <u>10</u> | WAIVER OF SERVICE Returned Executed filed by Plaintiff Anthony Delorosa. upon Joseph L. Hooley waiver sent by Plaintiff on 2/21/2017, answer due 4/24/2017. Waiver of Service signed by Robert Kingsley Smith. (Rosen, Laurence) (Entered: 02/27/2017) |
| 02/27/2017 | <u>11</u> | WAIVER OF SERVICE Returned Executed filed by Plaintiff Anthony Delorosa. upon Michael W. Bell waiver sent by Plaintiff on 2/21/2017, answer due 4/24/2017. Waiver of Service signed by Robert Kingsley Smith. (Rosen, Laurence) (Entered: 02/27/2017) |
| 02/27/2017 | <u>12</u> | WAIVER OF SERVICE Returned Executed filed by Plaintiff Anthony Delorosa. upon State Street Corporation waiver sent by Plaintiff on 2/21/2017, answer due 4/24/2017. Waiver of Service signed by Robert Kingsley Smith. (Rosen, Laurence) (Entered: 02/27/2017) |
| 02/27/2017 | <u>13</u> | NOTICE of Appearance filed by attorney Katie Moran on behalf of Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation (Attorney Katie Moran added to party Michael W. Bell(pty:dft), Attorney Katie Moran added to party Joseph L. Hooley(pty:dft), Attorney Katie Moran added to party Edward J. Resch(pty:dft), Attorney Katie Moran added to party State Street Corporation(pty:dft))(Moran, Katie) (Entered: 02/27/2017) |
| 02/27/2017 | <u>14</u> | STIPULATION to Continue DEADLINES ON MOTION TO TRANSFER AND EXTEND TIME TO RESPOND TO COMPLAINT from 04/22/2017 Re: Complaint (Attorney Civil Case Opening) <u>1</u> filed by Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation. (Attachments: # <u>1</u> Declaration in Support of Stipulation to Set Deadlines on Motion to Transfer and Extend Time to Respond to Complaint, # <u>2</u> Proposed Order Granting Stipulation to Set Deadlines on Motion to Transfer and Extend Time to Respond to Complaint)(Moran, Katie) (Entered: 02/27/2017) |
| 03/06/2017 | <u>15</u> | ORDER GRANTING STIPULATION TO SET DEADLINES ON MOTION TO TRANSFER AND EXTEND TIME TO RESPOND TO COMPLAINT <u>14</u> by Judge Beverly Reid O'Connell. (See document for details.) (lom) (Entered: 03/06/2017) |
| 03/21/2017 | <u>16</u> | APPLICATION of Non-Resident Attorney Daniel W. Halston to Appear Pro Hac Vice on behalf of Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation (Pro Hac Vice Fee – Fee Paid, Receipt No. 0973–19551943) filed by defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation. (Attachments: # <u>1</u> Proposed Order) (Moran, Katie) (Entered: 03/21/2017) |
| 03/21/2017 | <u>17</u> | APPLICATION of Non-Resident Attorney Robert K. Smith to Appear Pro Hac Vice on behalf of Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation (Pro Hac Vice Fee – Fee Paid, Receipt No. 0973–19552254) filed by defendants Michael W. Bell, |

Joseph L. Hooley, Edward J. Resch, State Street Corporation. (Attachments: # 1 Proposed Order) (Moran, Katie) (Entered: 03/21/2017)

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| 03/22/2017 | <u>18</u> | ORDER by Judge Beverly Reid O'Connell: The Application by Non-Resident Attorney Daniel W. Halston to Appear Pro Hac Vice <u>16</u> on behalf of defendants State Street Corporation, Joseph L. Hooley, Edward J. Resch and Michael W. Bell, designating Katie Moran as local counsel, is GRANTED. (cw) (Entered: 03/22/2017) |
| 03/22/2017 | <u>19</u> | ORDER by Judge Beverly Reid O'Connell: The Application by Non-Resident Attorney Robert K. Smith to Appear Pro Hac Vice <u>17</u> on behalf of defendants State Street Corporation, Joseph L. Hooley, Edward J. Resch and Michael W. Bell, designating Katie Moran as local counsel, is GRANTED. (cw) (Entered: 03/22/2017) |
| 03/23/2017 | <u>20</u> | APPLICATION of Non-Resident Attorney William H. Paine to Appear Pro Hac Vice on behalf of Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation (Pro Hac Vice Fee – Fee Paid, Receipt No. 0973-19563737) filed by defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation. (Attachments: # <u>1</u> Proposed Order) (Moran, Katie) (Entered: 03/23/2017) |
| 03/28/2017 | <u>21</u> | ORDER ON APPLICATION OF NON-RESIDENT ATTORNEY TO APPEAR IN A SPECIFIC CASE PRO HAC VICE by Judge Beverly Reid O'Connell: granting <u>20</u> Non-Resident Attorney William H Paine APPLICATION to Appear Pro Hac Vice on behalf of Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation, designating Katie Moran as local counsel. (jp) (Entered: 03/28/2017) |
| 03/28/2017 | <u>22</u> | NOTICE OF MOTION AND MOTION for Appointment of Counsel <i>and Appointment of Lead Plaintiff</i> filed by movant Marlene Konkoly. Motion set for hearing on 5/1/2017 at 01:30 PM before Judge Beverly Reid O'Connell. (Attachments: # <u>1</u> Proposed Order) (Attorney Laurence M Rosen added to party Marlene Konkoly(pty:mov)) (Rosen, Laurence) (Entered: 03/28/2017) |
| 03/28/2017 | <u>23</u> | CERTIFICATE of Interested Parties filed by plaintiff Marlene Konkoly, identifying none to report. (Rosen, Laurence) (Entered: 03/28/2017) |
| 03/28/2017 | <u>24</u> | MEMORANDUM in Support of NOTICE OF MOTION AND MOTION for Appointment of Counsel <i>and Appointment of Lead Plaintiff</i> <u>22</u> filed by Movant Marlene Konkoly. (Rosen, Laurence) (Entered: 03/28/2017) |
| 03/28/2017 | <u>25</u> | DECLARATION of Laurence Rosen in support of NOTICE OF MOTION AND MOTION for Appointment of Counsel <i>and Appointment of Lead Plaintiff</i> <u>22</u> filed by Movant Marlene Konkoly. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4)(Rosen, Laurence) (Entered: 03/28/2017) |
| 04/13/2017 | <u>26</u> | NOTICE of Non-Opposition filed by Movant Marlene Konkoly. (Rosen, Laurence) (Entered: 04/13/2017) |
| 04/21/2017 | <u>27</u> | NOTICE Waiver of Oral Argument filed by Movant Marlene Konkoly. (Rosen, Laurence) (Entered: 04/21/2017) |
| 04/28/2017 | <u>28</u> | APPLICATION of Non-Resident Attorney Jonathan Stern to Appear Pro Hac Vice on behalf of Movant Marlene Konkoly (Pro Hac Vice Fee – Fee Paid, Receipt No. 0973-19765449) filed by movant Marlene Konkoly. (Attachments: # <u>1</u> Proposed Order) (Ro sen, Laurence) (Entered: 04/28/2017) |
| 04/28/2017 | <u>29</u> | SCHEDULING NOTICE by Judge Beverly Reid O'Connell: Pursuant to Rule 78 of the Federal Rules of Civil Procedure and Local Rule 7-15, the Court finds that the Motion of Marlene Konkoly for Appointment as Lead Plaintiff and Approval of Choice of Counsel <u>22</u> is appropriate for submission on the papers without oral argument. Accordingly, the hearing set on this motion for |

5/1/2017 at 1:30 PM, is VACATED and taken off calendar. THERE IS NO PDF DOCUMENT ASSOCIATED WITH THIS ENTRY. (cw) TEXT ONLY ENTRY (Entered: 04/28/2017)

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| 04/28/2017 | <u>30</u> | TEXT ENTRY ORDER by Judge Beverly Reid O'Connell: The Motion of Marlene Konkoly for Appointment as Lead Plaintiff and Approval of Choice of Counsel <u>22</u> is GRANTED. Plaintiff counsel is ordered to submit a proposed Order no later than 5/5/2017. THERE IS NO PDF DOCUMENT ASSOCIATED WITH THIS ENTRY. (cw) TEXT ONLY ENTRY (Entered: 04/28/2017) |
| 05/02/2017 | <u>31</u> | ORDER by Judge Beverly Reid O'Connell: granting <u>28</u> Non-Resident Attorney Jonathan Stern's APPLICATION to Appear Pro Hac Vice on behalf of Plaintiff Marlene Konkoly, designating Laurence M. Rosen as local counsel. (cw) (Entered: 05/02/2017) |
| 05/03/2017 | <u>32</u> | ORDER APPOINTING LEAD PLAINTIFF AND LEAD COUNSEL by Judge Beverly Reid O'Connell that (1) Pursuant to Section 21D(a)(3)(B) of the Exchange Act, 15 USC 78u-4(a)(3)(B), Movant is appointed as Lead Plaintiff of the Class, as Movant has the largest financial interest in this litigation and otherwise satisfies the requirements of FRCP 23. (2) Movant's choice of counsel is approved, and accordingly, The Rosen Law Firm, P.A. is appointed as Lead Counsel. (3) Lead Counsel, after being appointed by the Court, shall manage the prosecution of this litigation. (jp) (Entered: 05/03/2017) |
| 05/15/2017 | <u>33</u> | NOTICE OF MOTION AND MOTION to Transfer Case to District of Massachusetts filed by Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation. Motion set for hearing on 6/26/2017 at 01:30 PM before Judge Beverly Reid O'Connell. (Attachments: # <u>1</u> Memorandum, # <u>2</u> Declaration of David C. Phelan, # <u>3</u> Declaration of Robert K. Smith, # <u>4</u> Exhibit A of Declaration of Robert K. Smith, # <u>5</u> Exhibit B of Declaration of Robert K. Smith, # <u>6</u> Exhibit C of Declaration of Robert K. Smith, # <u>7</u> Exhibit D of Declaration of Robert K. Smith, # <u>8</u> Exhibit E of Declaration of Robert K. Smith, # <u>9</u> Exhibit F of Declaration of Robert K. Smith, # <u>10</u> Exhibit G of Declaration of Robert K. Smith, # <u>11</u> Proposed Order) (Moran, Katie) (Entered: 05/15/2017) |
| 06/05/2017 | <u>34</u> | MEMORANDUM in Opposition to NOTICE OF MOTION AND MOTION to Transfer Case to District of Massachusetts <u>33</u> filed by Movant Marlene Konkoly. (Attachments: # <u>1</u> Declaration of Jonathan Stern, # <u>2</u> Exhibit 1)(Stern, Jonathan) (Entered: 06/05/2017) |
| 06/12/2017 | <u>35</u> | REPLY in Support of NOTICE OF MOTION AND MOTION to Transfer Case to District of Massachusetts <u>33</u> filed by Defendant State Street Corporation. (Moran, Katie) (Entered: 06/12/2017) |
| 06/12/2017 | <u>36</u> | CORPORATE DISCLOSURE STATEMENT <i>and Notice of Interested Parties Pursuant to Civil L.R. 7.1-1</i> filed by Defendants Michael W. Bell, Joseph L. Hooley, Edward J. Resch, State Street Corporation (Moran, Katie) (Entered: 06/12/2017) |
| 06/21/2017 | <u>37</u> | MINUTES (IN CHAMBERS) ORDER Re Defendants Motion to Transfer Venue <u>33</u> by Judge Beverly Reid O'Connell: The Court GRANTS Defendants' Motion to Transfer Venue to the District of Massachusetts. Accordingly, this action is TRANSFERRED to the District of Massachusetts for all further proceedings. The Court VACATES all pending dates set by this Court to permit the District of Massachusetts to set appropriate dates as it sees fit. The hearing currently scheduled for Monday, June 26, 2017 is hereby VACATED. (MD JS-6. Case Terminated.) Case transferred electronically. (jp) (Entered: 06/21/2017) |